

Spectral Sequences

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0 Towards to Constructions

0.1 Homology of length-2-filtration of chain complexes

Suppose we have a chain complex of **finite dimensional vector spaces**

$$C_* = \{\cdots \rightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \rightarrow \cdots\}_{n \in \mathbb{Z}}$$

If it is **graded**

$$C_* \cong \bigoplus_{k=1}^m C_{*,k}$$

we can split differential $d_n \cong \bigoplus_{k=1}^m d_{n,k}$ then we can break up homology of C_* into smaller pieces: $H_n(C_*) \cong \bigoplus_{k=1}^m H_n(C_{*,k})$.

Now suppose we have a **filtration** of C_* as **complexes**: $0 = F_0 C_* \hookrightarrow F_1 C_* \hookrightarrow F_2 C_* = C_*$ which means $\forall n \in \mathbb{Z}, d_n(F_q C_n) \subseteq F_q C_{n-1}$.

$$\begin{array}{ccccc} \vdots & & \vdots & & \vdots \\ \downarrow & & \downarrow & & \downarrow \\ 0 = F_0 C_{n+1} & \hookrightarrow & F_1 C_{n+1} & \hookrightarrow & F_2 C_{n+1} = C_{n+1} \\ \downarrow & & \downarrow d_{n+1}|_{F_1 C_{n+1}} & & \downarrow d_{n+1} \\ 0 = F_0 C_n & \hookrightarrow & F_1 C_n & \hookrightarrow & F_2 C_n = C_n \\ \downarrow & & \downarrow d_n|_{F_1 C_n} & & \downarrow d_n \\ 0 = F_0 C_{n-1} & \hookrightarrow & F_1 C_{n-1} & \hookrightarrow & F_2 C_{n-1} = C_{n-1} \\ \downarrow & & \downarrow & & \downarrow \\ \vdots & & \vdots & & \vdots \end{array}$$

For each n we can decompose C_n into direct sum

$$C_n \cong \frac{C_n}{F_1 C_n} \oplus F_1 C_n = G_2 C_n \oplus G_1 C_n$$

where $G_p C_n$ is the **associated graded object** $G_p C_* := \frac{F_p C_*}{F_{p-1} C_*}$.

Since $d_n(F_1 C_n) \subseteq F_1 C_{n-1}$, d_n on the component $\frac{C_n}{F_1 C_n}$ is well-defined. Furthermore, d_n induces a map $d_n^0 : G_2 C_n \oplus G_1 C_n \rightarrow G_2 C_{n-1} \oplus G_1 C_{n-1}$

Real difficulty of decomposing **chain complex** C_* into chain complexes $G_2 C_* \oplus G_1 C_*$ is that for $c \in d_n^{-1}(F_1 C_{n-1}) \subseteq C_n$, $d_n(c) \neq d_{n,2}^0 \oplus d_{n,1}^0(c)$:

$$\begin{aligned} C_n &= \frac{C_n}{F_1 C_n} \oplus F_1 C_n \xrightarrow{d_n} \frac{C_{n-1}}{F_1 C_{n-1}} \oplus F_1 C_{n-1} \\ c &= (a, b) \mapsto (0, d_n(a, b)) \neq (0, d_{n,1}^0(b)) \end{aligned}$$

This difficulty would make $\text{Im } d_n$ larger than $\text{Im}(d_{n,2}^0 \oplus d_{n,1}^0)$ and $\ker d_n$ smaller than $\ker(d_{n,2}^0 \oplus d_{n,1}^0)$. Intuitively, we plot d as:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \frac{C_{n+1}}{F_1 C_{n+1}} & \xrightarrow{d_{n+1,2}^0} & \frac{C_n}{F_1 C_n} & \xrightarrow{d_{n,2}^0} & \frac{C_{n-1}}{F_1 C_{n-1}} \longrightarrow \cdots \\ & & \searrow & & \searrow & & \\ & & \oplus & \downarrow d_{n+1}^{-1}(F_1 C_n) & \oplus & \downarrow d_n^{-1}(F_1 C_{n-1}) & \oplus \\ \cdots & \longrightarrow & F_1 C_{n+1} & \xrightarrow{d_{n+1,1}^0} & F_1 C_n & \xrightarrow{d_{n,1}^0} & F_1 C_{n-1} \longrightarrow \cdots \end{array}$$

Where the diagonal “maps” (call them D_n^1) are defined only on $\ker d_{*,2}^0 = \frac{d_*^{-1}(F_1 C_{*-1})}{F_1 C_*}$.

The real homology of $C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1}$ is

$$\frac{\ker(d_{n,2}^0 \oplus d_{n,1}^0) \cap \ker(D_n^1)}{\operatorname{Im}(d_{n+1,2}^0 \oplus d_{n+1,1}^0) + \operatorname{Im}(D_{n+1}^1)} = \frac{\ker d_{n,2}^0 \cap \ker D_n^1}{\operatorname{Im} d_{n+1,2}^0} \oplus \frac{\ker d_{n,1}^0}{\operatorname{Im} d_{n+1,1}^0 + \operatorname{Im} D_{n+1}^1}$$

Naturally, we want it be some homology of

$$\frac{\ker(d_{n,2}^0 \oplus d_{n,1}^0)}{\operatorname{Im}(d_{n+1,2}^0 \oplus d_{n+1,1}^0)} = \frac{\ker d_{n,2}^0}{\operatorname{Im} d_{n+1,2}^0} \oplus \frac{\ker d_{n,1}^0}{\operatorname{Im} d_{n+1,1}^0}$$

Since $D_n^1 \circ d_{n+1,2}^0 = 0$ and $d_{n,1}^0 \circ D_n^1$, the diagonal arrows D_n^1 define **real** maps $d_n^1 : \frac{\ker d_{n,2}^0}{\operatorname{Im} d_{n+1,2}^0} \rightarrow \frac{\ker d_{n+1,1}^0}{\operatorname{Im} d_{n,1}^0}$. Now we get $H_n(C_*) = \ker d_n^1 \oplus \operatorname{coker} d_{n+1}^1$.

0.2 Homology of length-n-filtration of chain complexes

Suppose we have a **filtration** of length n of C_* :

$$0 = F_0 C_* \hookrightarrow F_1 C_* \hookrightarrow \dots \hookrightarrow F_{n-1} C_* \hookrightarrow F_n C_* = C_*$$

We define $E_{p,q}^0 := \frac{F_p C_{p+q}}{F_{p-1} C_{p+q}}$ and $d_{p,q}^0 : E_{p,q}^0 \rightarrow E_{p,q-1}^0$ is the map induced by $d_{p+q} : C_{p+q} \rightarrow C_{p+q-1}$. In this case, d is more complicated:

$$\begin{array}{ccccc} E_{p,q}^0 & \longrightarrow & E_{p,q-1}^0 & \longrightarrow & E_{p,q-2}^0 \\ & \searrow & \oplus & \searrow & \\ E_{p-1,q+1}^0 & \longrightarrow & E_{p-1,q}^0 & \longrightarrow & E_{p-1,q-1}^0 \\ & \searrow & \oplus & \searrow & \\ E_{p-2,q+2}^0 & \longrightarrow & E_{p-2,q+1}^0 & \longrightarrow & E_{p-2,q}^0 \\ & \searrow & \oplus & \searrow & \\ E_{p-3,q+3}^0 & \longrightarrow & E_{p-3,q+2}^0 & \longrightarrow & E_{p-3,q+1}^0 \end{array}$$

D^2 and D^3 are indicated by dashed arrows from $E_{p,q}^0$ to $E_{p-1,q}^0$ and $E_{p-2,q+1}^0$ respectively.

The “map” $D^2 : \frac{F_p C_{p+q}}{F_{p-1} C_{p+q}} \rightarrow \frac{F_{p-2} C_{p-1+q}}{F_{p-3} C_{p-1+q}}$ comes from restricting $d|_{F_p C_{p+q}}$ on $\ker D^1 = d^{-1}(F_{p-2} C_{p-1+q}) \cap F_p C_{p+q}$.

Inductively, we obtain “map” $D^r : \frac{F_p C_{p+q}}{F_{p-1} C_{p+q}} \rightarrow \frac{F_{p-r} C_{p-1+q}}{F_{p-r-1} C_{p-1+q}}$ defined by restricting d on

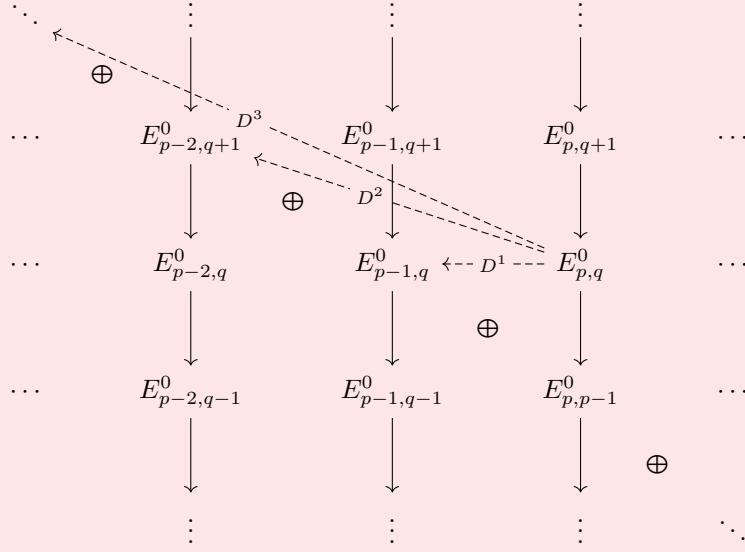
$\ker D^{r-1} = d^{-1}(F_{p-r} C_{p-1+q}) \cap F_p C_{p+q}$.

Intuitively, we want:

- $\ker d \cap E_{p,q}^0 = \ker d^0 \cap (\bigcap_r \ker D^r)$
- $\operatorname{Im} d \cap E_{p,q}^0 = \operatorname{Im} d^0 + (\sum_r \operatorname{Im} D^r)$

If we have identities above, we could compute $H_n(C) = \frac{\ker d \cap \bigoplus_{p+q=n} E_{p,q}^0}{\operatorname{Im} d \cap \bigoplus_{p+q=n} E_{p,q}^0} = \bigoplus_{p+q=n} \frac{\ker d^0 \cap (\bigcap_r \ker D^r)}{\operatorname{Im} d^0 + (\sum_r \operatorname{Im} D^r)}$.

We plot these “maps” under our indexing convention:



Inspired by the length 2 case, we take iterated homology to convert D^r into **well-defined** maps. Define **r -almost cycles** $Z_{p,q}^r$ and **r -almost boundaries** $B_{p,q}^r$ by:

$$\begin{aligned}
Z_{p,q}^r &:= \frac{\{c \in F_p C_{p+q} \mid d(c) \in F_{p-r} C_{p+q-1}\}}{F_{p-1} C_{p+q}} \\
&= \frac{d^{-1}(F_{p-r} C_{p+q-1}) \cap F_p C_{p+q}}{F_{p-1} C_{p+q}} \\
&= \text{intuitively } \ker D^{r-1} \\
B_{p,q}^r &:= \frac{d(F_{p+r-1} C_{p+q+1}) \cap F_p C_{p+q}}{F_{p-1} C_{p+q}} \\
&= \text{intuitively } \text{Im } D^{r-1}
\end{aligned}$$

On $E_{p,q}^r := \frac{Z_{p,q}^r}{B_{p,q}^r}$, D^r becomes a well-defined map

$$\begin{aligned}
d_{p,q}^r : E_{p,q}^r &\longrightarrow E_{p-r, q+r-1}^r \\
\frac{d^{-1}(F_{p-r} C_{p+q-1}) \cap F_p C_{p+q}}{d(F_{p+r-1} C_{p+q+1}) \cap F_p C_{p+q} + F_{p-1} C_{p+q}} &\xrightarrow{d|} \frac{d^{-1}(F_{p-2r} C_{p+q-2}) \cap F_{p-r} C_{p+q-1}}{d(F_{p-1} C_{p+q}) \cap F_{p-r} C_{p+q-1} + F_{p-r-1} C_{p+q-1}}
\end{aligned}$$

And is still differential.

Our intuition $Z^r = \ker D^{r-1}$ and $B^r = \text{Im } D^{r-1}$ are now strict identities:

$$\begin{aligned}
Z_{p,q}^{r+1} &= \frac{d^{-1}(F_{p-(r+1)} C_{p+q-1}) \cap F_p C_{p+q}}{F_{p-1} C_{p+q}} = \ker d_{p,q}^r + B_{p,q}^r \\
B_{p,q}^{r+1} &= \frac{d(F_{p+(r+1)-1} C_{p+q+1}) \cap F_p C_{p+q}}{F_{p-1} C_{p+q}} = \text{Im } d_{p+r, q-r+1}^r + B_{p,q}^r
\end{aligned}$$

And $H_n(C) = \bigoplus_{p+q=n} \frac{\bigcap_r Z^r}{\sum_r B^r}$

1 Spectral Sequence and Convergence

1.1 Spectral Sequences and length-infty-filtration

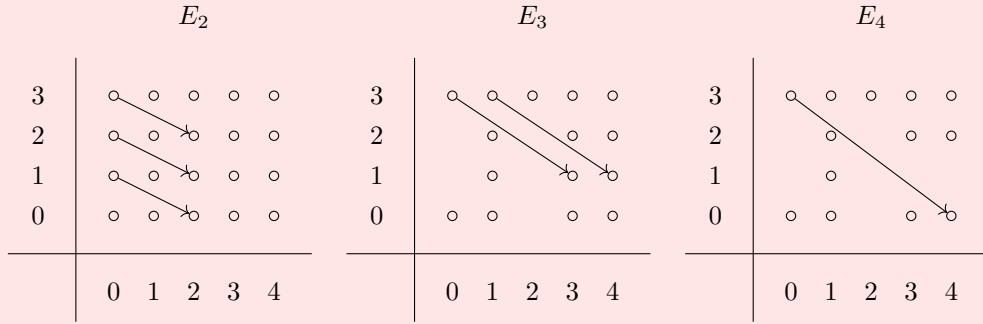
Definition 1.1. A **Spectral Sequence** (start at r_0 -page ($r_0 \in \mathbb{N}$)) is a series of complexes (in some Abelian category) $\{E_r\}_{r=r_0}^\infty$ with **given differentials** $\{d_r : E_r \rightarrow E_r\}_{r=r_0}^\infty$ such that $E_{r+1} \cong H(E_r, d_r)$.

Note. Usually, a spectral sequence is bi-graded under following conventions:

- **Cohomological convention**

$$E_r = \bigoplus_{n \in \mathbb{Z}} \left(\bigoplus_{p+q=n} E_r^{p,q} \right)$$

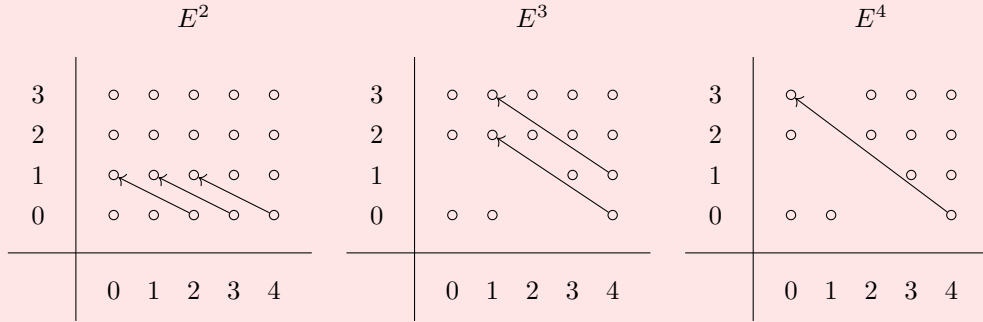
and d_r with bi-degree $(r, -r+1)$:



- **Homological convention**

$$E^r = \bigoplus_{n \in \mathbb{Z}} \left(\bigoplus_{p+q=n} E_{p,q}^r \right)$$

and d^r with bi-degree $(-r, r-1)$:



As we see, filtration of finite length on C could induce a spectral sequence $\{E^r, d^r\}_{r \in \mathbb{N}}$ which computes $H(C)$ for sufficient large r : $\text{Tot}^\oplus(E^r) \cong H(C)$. But in practice, most of our filtrations have infinite length. When lengths are infinite, we need more constraints to insure that we could compute correct homologies from spectral sequences.

Definition 1.2. A **descending filtration** of X is a sequence of monomorphisms:

$$\{\dots \rightrightarrows F^n X \rightrightarrows F^{n-1} X \rightrightarrows \dots \rightrightarrows X\}_{n \in \mathbb{Z}}.$$

Definition 1.3. A decreasing filtration $\{F^n X\}_{n \in \mathbb{Z}}$ of X (in some Abelian category) is called

- **exhaustive** if $\varinjlim_n X_n \cong X$.
- **Hausdorff** if $\varprojlim_n X_n \cong 0$.
- **complete** if $\varprojlim_n^1 X_n \cong 0$.

Note. If $\{F^n X\}_{n \in \mathbb{Z}}$ is in $\mathbb{Z} - \text{Mod}$, then we can topologize X by $\tau_X := \{x + F^n X \mid x \in X, n \in \mathbb{Z}\} \cup \{\emptyset, X\}$.

Then (X, τ_X) is Hausdorff topological space iff $\varprojlim_n X_n \cong 0$.

Recall that a Cauchy sequence in a topological group X is a sequence $x_- : \mathbb{N} \rightarrow X$ satisfy:

For any open neighborhood U of 0, there exists $N_U \in \mathbb{N}$ such that $\forall r, s \geq N_U . x_r^{-1} x_s \in U$.

$x \in X$ is a limit of a Cauchy sequence x_- if:

For any open neighborhood U of x , there exists $N_U \in \mathbb{N}$ such that $\forall r \geq N_U . x_r - x \in U$.

Take a Cauchy Sequence x_- , image of x_n in $\frac{X}{F^s X}$ is stable ($\text{Im } x_r = \text{Im } x_n, r \geq n$) for large enough n . By taking the stable image of x_- in $\frac{X}{F^s X}$, we defines a element $y^s \in \frac{X}{F^s X}$ and it passes to limit:

$$\begin{array}{ccccccc} 0 & \longrightarrow & F^s X & \longrightarrow & X & \longrightarrow & \frac{X}{F^s X} \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & F^{s+1} X & \longrightarrow & X & \longrightarrow & \frac{X}{F^{s+1} X} \longrightarrow 0 \end{array}$$

Apply \varprojlim , we obtain a exact sequence:

$$0 \longrightarrow \varprojlim_s F^s X \longrightarrow X \longrightarrow \varprojlim_s \frac{X}{F^s X} \longrightarrow \varprojlim_s^1 F^s X \longrightarrow 0$$

A limit of x_- exists iff these y^s could be lifted to X .

X is complete (all Cauchy sequence have limit) iff $\varprojlim_s^1 F^s X = 0$.

Definition 1.4. Let $\{E_{p,q}^r, d^r\}_{r=r_0}^\infty$ be a spectral sequence.

If for all $p, q \in \mathbb{Z}$ there exists $r(p, q) \in \mathbb{N}$ such that $r \geq r(p, q) \implies E_{p,q}^r \cong E_{p,q}^{r(p,q)}$, then we say $E_{p,q}^\infty := E_{p,q}^{r(p,q)}$ is the **limit term** of $\{E_{p,q}^r, d^r\}_{r=r_0}^\infty$, and $\{E_{p,q}^r, d^r\}_{r=r_0}^\infty$ **abuts to** E^∞ .

If there exists N such that $r \geq N \implies \forall p, q . d_{p,q}^r = 0$, then we say E^r **collapses** at N -th page.